Profiles Forecaster Version 4.0

Release Overview

Profiles

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Overview of ***Profiles Forecaster*** Version 4.0

Introduction

EISI is pleased to announce the upcoming release of Profiles Forecaster version 4.0 (v4.0). The purpose of this document is to provide the reader with a detailed overview of the features planned for the release. The release timeframe for v4.0 is currently targeted for end of June 2008. Any material changes in scope or release timing will be communicated to customers.

Any questions about the content of this document should be directed to the Profiles Product Management team.

Goals of the Profiles Forecaster v4.0 Release

The Forecaster v4.0 release is primarily focused on delivering targeted customer-requested enhancements and Collaboration and Workflow capabilities. These enhancements fit within our strategy of focusing on the needs of customers and building on functionality that addresses and supports each step in the financial advice-delivery process. An additional area of focus is the ease of use of the application as a continuation of the enhancements incorporated in the v3.0 release.

Before starting the initial development of the v4.0 release, the following high-level goals were established:

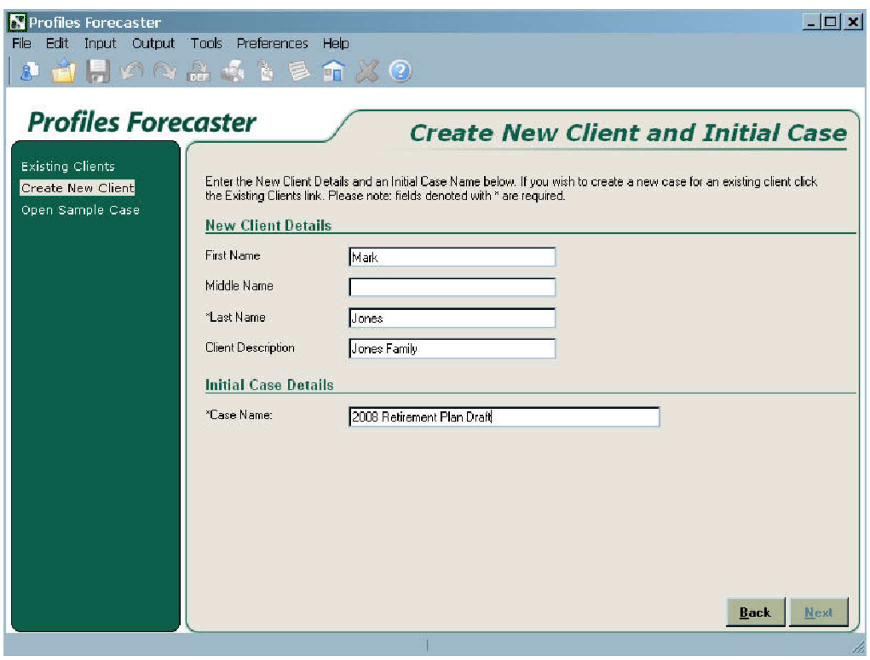
- Introduce new Social Security functionality (ability to override the system-calculated benefit)
- Provide Workflow and Collaboration capabilities required by enterprise customers (for more information on these capabilities please see the Profiles Enterprise Services Overview document)
- Increase user adoption, productivity, and efficiency when using the application
- Deliver as Standalone Desktop, Universal Desktop Application, and Web-based versions

Overview of Planning Features for Profiles Forecaster v4.0

Improved Process for Client/Case Management

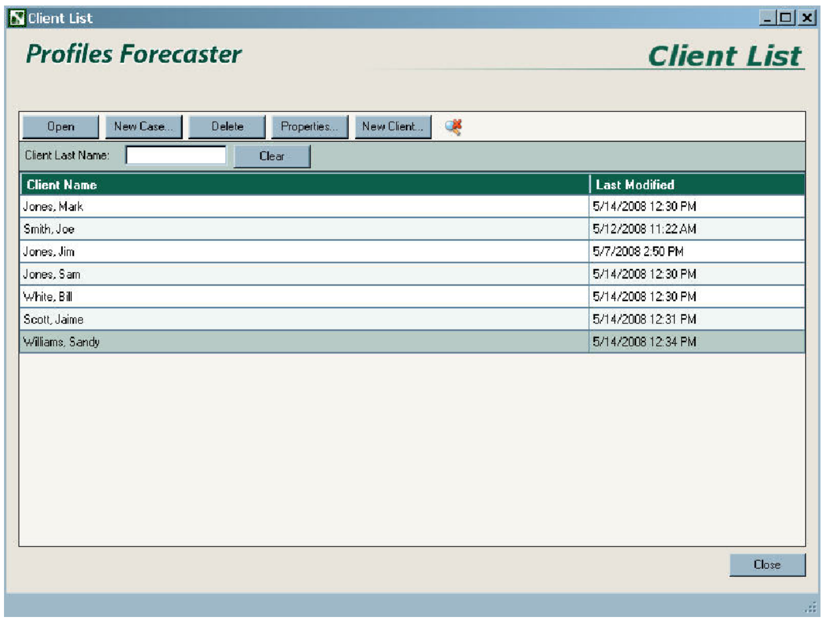
Profiles Forecaster v4.0 has been enhanced with new client and case management screens to make it easier for users to create, save, manage, and access their client files and cases. These new screens allow a user to create several cases for a single client. A user can create a current case as well as a recommended case. Alternatively, a new case can be created for each annual review for a client.

Users can now create a new case from the Welcome screen by clicking Create New Client on the left navigation bar, or by going to the File menu. The user is then guided through the client setup process, which includes the creation of an initial case. During this process, both the client details and case are saved simultaneously; the user cannot enter case data until this is completed. This new process ensures that a user has properly saved the client file and case before selecting an appropriate analysis mode. The user is always prompted to save a case when closing it or opening another one, to ensure all case data is saved.



Create New Client and Initial Case screen

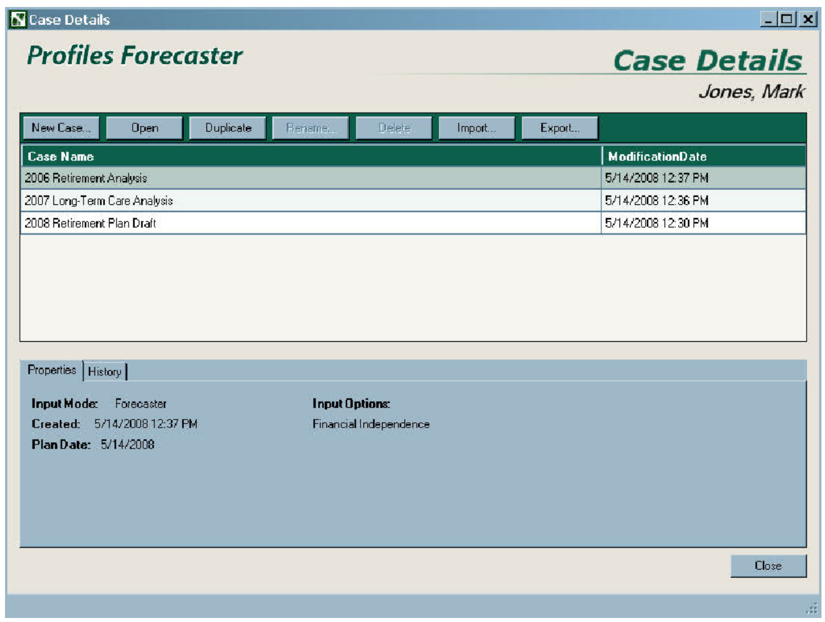
When users wish to access existing clients and cases, they can do so from the Welcome screen by clicking Existing Clients on the left navigation bar, or by going to the File menu. The new Client List screen opens, which replaces the Open Client Case screen found in v3.0. The Client List screen lets users access their existing clients as well as create a new client, or create a new case for an existing client. On this screen is a new search function that allows users to find a client by entering a last name.



Client List screen

In addition to the new Client List screen shown above, v4.0 also includes a new Case Details screen. This screen makes it easy for users to manage several cases under the same client name. This functionality becomes very useful when completing an update to an existing case, or when creating a duplicate copy to help illustrate an alternative to an existing case. This screen also allows users to rename a case, delete a case, import a case, and export a case.

The Case Details screen also includes two tabs: Properties and History, which allow users to review specific case information without having to open the case. The Properties tab contains basic case information including owner name, input mode, creation date, plan date, last modified by name, input options selected, and a link to a copy of the last report generated. The History tab provides users with a detailed record of changes or modifications made to the case. These two new tabs allow users to easily read detailed information about a case without having to open the case. This new functionality not only saves users time, but presents case information that was unavailable in previous versions.

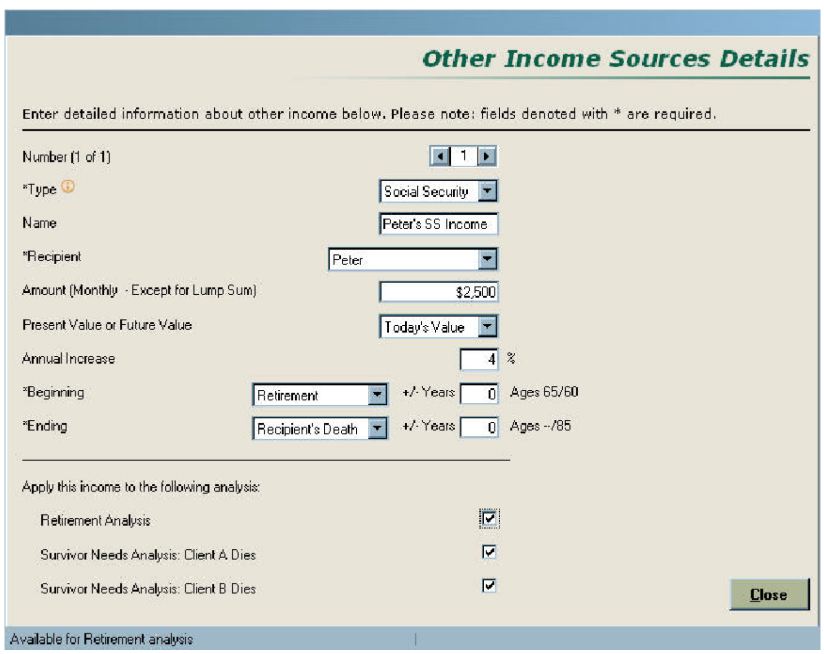


Case Details screen

Improved Social Security Functionality

A major improvement in v4.0 is the ability for the user to turn off the system-calculated Social Security benefit and manually enter it on the Other Income Sources Details screen. In v3.0 a user could choose to enter a client's Social Security income as an Other Income Source, however, Social Security was not separately identified in any of the reports. In addition, v3.0 did not provide a seamless way for the user to turn off the system-calculated benefit, which could have resulted in Social Security benefits being represented twice.

In v4.0 users now have two options to illustrate Social Security income. They may use the system-calculated default, or manually enter their own on the Other Income Sources Details input screen. When a user chooses to manually enter a client's Social Security benefit on the Other Income Sources Details screen, it will be identified separately in all the income graphs and timeline reports as Social Security income. In addition, if Social Security is entered as an Other Income Source, the application automatically overrides the system-calculated Social Security benefit.



Other Income Sources Details screen

Additional Enhancements and Changes in v4.0

2008 Annual Update

All changes from the 2008 Annual Update, released in May 2008, are also included in v4.0. The Annual Update provides updates to tax and statistical information on a yearly basis.

Case Conversion

The v4.0 release automatically includes case conversion from previous versions, allowing users to open existing cases in v4.0. Data conversion is a term that describes the treatment of the data input elements when opening a case in a newer version of the software. As new input fields and new input screens are added, certain assumptions are made that can alter the final results of the case, when compared to the prior version. It is very important for users to review all input fields and input screens to make sure the Client Presentation most accurately reflects the clients' unique situation. A detailed description of the data conversion process can be found in the updated Forecaster Help.

Fact Finders and Printable Forms

Updated versions of all Profiles Forecaster Fact Finders and all printable forms will be available with v4.0.

Reference Manual

The Reference Manual for v4.0 will be updated to reflect new user interface, new functionality, and all other updates to the product. Additional documentation can be found by going to the Help menu, and then selecting Contents and Index.

New Training and Support Site Link

A new link between Forecaster v4.0 and training information has been included. A new shortcut icon is installed on the user's desktop with the installation of v4.0. This new link makes it easier for users to locate the various training and support options available to them. This will also help drive additional adoption and training opportunities within the application.

General System Requirements

Desktop operating systems – Profiles Forecaster v4.0 has been tested and certified for Windows Vista™ (majority of testing conducted on Home Premium and Ultimate Editions) and Windows XP.

Availability and Delivery - Retail, Sponsorship and Enterprise

Profiles Forecaster v4.0 is scheduled to be available in the second quarter of 2008.

Retail and Sponsorship Customers – Upon availability, v4.0 will automatically be posted on the EISI Web site and all currently licensed Retail and Sponsorship clients will receive the release via AutoUpdate. The AutoUpdate feature uses an industry-standard, secure Web Services method using Port 80. In addition, the AutoUpdate feature will automatically check for updates and notify the user upon startup of any available updates. The AutoUpdate functionality can deliver general and/or company-specific updates.

Enterprise Clients – Enterprise clients will be contacted by their dedicated Relationship Manager and Professional Services Program Manager to arrange delivery and any specific needs.